



H-A

Sector 4

Attorney Docket No.: 42390P10851

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ULHAS S. WARRIER, ET AL.

Application No.: 09/811,011

Filed: March 15, 2001

For: **GENERIC EXTERNAL PROXY**

Assistant Commissioner for Patents  
Attention: **Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application mailed May 30, 2001, please find enclosed:

(1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;

(2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);

(3) a copy of the Notice to File Missing Parts of Application;

(4) substitute drawings and specification in compliance with 37 CFR 1.84 (if required).

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: June 20, 2001

Kerry D. Tweet, Reg. No. 45,959

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: June 20, 2001.

Angie C. Farr

06/20/01

Date

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/811,011         | 03/15/2001          | Ulhas S. Warrior      | 42390P10851            |

CONFIRMATION NO. 8561

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

## FORMALITIES LETTER



\*OC000000006128646\*

Date Mailed: 05/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/27/2001 BNGUYEN1 00000157 09811011

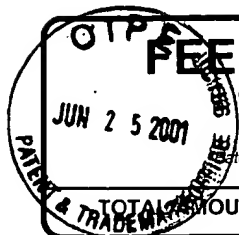
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130.00 OP

lease type a plus sign (+) inside this box → +

PTO/SB/17 (12/99)  
Approved for use through 09/30/2000, OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

## Complete if Known

|                        |                  |
|------------------------|------------------|
| Application No.        | 09/811,011       |
| Filing Date            | March 15, 2001   |
| First Named Inventor   | Ulhas S. Warriar |
| Examiner Name          |                  |
| Group/Art Unit         | 2152             |
| Attorney Docket Number | 42390P10851      |

**TOTAL AMOUNT OF PAYMENT** (\$) 130.00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to credit any overpayments to:
- Deposit Account Number: 02-2666
- Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ **Payment Enclosed:**
- ☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 710      | 201          | 355      | Utility filing fee     |          |
| 106          | 320      | 206          | 160      | Design filing fee      |          |
| 107          | 490      | 207          | 245      | Plant filing fee       |          |
| 108          | 710      | 208          | 355      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

**SUBTOTAL (1)** (\$)

#### 2. EXTRA CLAIM FEES

| Large Entity |          | Small Entity |          | Extra Claims | Fee from below | Fee Paid |
|--------------|----------|--------------|----------|--------------|----------------|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |              |                |          |
|              |          |              |          |              |                |          |
|              |          |              |          |              |                |          |

\*\*or number previously paid, if greater, For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |   |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                         |          |
| 104          | 260      | 204          | 135      | Multiple Dependent claim, if not paid                     |          |
| 109          | 80       | 209          | 40       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |

**SUBTOTAL (2)** (\$)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEE

| Large Entity  |          | Small Entity |          | Fee Description  | Fee Paid |
|---|----------|--------------|----------|--|----------|
| Fee Code  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105   | 130      | 205          | 65       | Surcharge - late filing fee or oath  | 130.00   |
| 127   | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139   | 130      | 139          | 130      | Non-English specification  |          |
| 147   | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112   | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113   | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115   | 110      | 215          | 55       | Extension for response within first month                                  |          |
| 116   | 390      | 216          | 195      | Extension for response within second month                                 |          |
| 117   | 890      | 217          | 445      | Extension for response within third month                                  |          |
| 118   | 1,390    | 218          | 695      | Extension for response within fourth month                                 |          |
| 128   | 1,890    | 228          | 945      | Extension for response within fifth month                                  |          |
| 119   | 310      | 219          | 155      | Notice of Appeal   |          |
| 120   | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121   | 270      | 221          | 135      | Request for oral hearing   |          |
| 138   | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140   | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141   | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142   | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |          |
| 143   | 440      | 243          | 220      | Design issue fee   |          |
| 144   | 600      | 244          | 300      | Plant issue fee  |          |
| 122   | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123   | 130      | 123          | 130      | Petitions related to provisional applications                              |          |
| 126   | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581   | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146   | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149   | 710      | 249          | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179   | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169   | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____   |          |              |          |  |          |
| Other fee (specify) _____   |          |              |          |  |          |
| * Reduced by Basic Filing Fee Paid  |          |              |          |  |          |
| <b>SUBTOTAL (3)</b> (\$) <span style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; vertical-align: middle;"></span> |          |              |          |  | 130.00   |

### SUBMITTED BY

### Complete (if applicable)

|                   |                |                                   |        |           |                |
|-------------------|----------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Kerry D. Tweet | Registration No. (Attorney/Agent) | 45,959 | Telephone | (503) 684-6200 |
| Signature         |                |                                   |        | Date      | 06/20/01       |

**WARNING: Information in this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED